

Instructions for the UCC Correction Statement (Form UCC5)

In order to avoid refusal by the filing office be sure that the Correction Statement is completely legible and completed with care. This form should be printed, typed, or machine-printed

Reading and following these instructions will aid in the proper completion of this form. Pay particular attention to instructions 1a and 1b as correct identification of the initial record to which this Correction Statement relates is crucial.

If you have questions, consult your attorney. The filing office cannot give legal advice.

The blank upper right portion of the Correction Statement is reserved for the filing office's use and should not be used in any manner by the party completing this form.

Upon completing this form submit it with the required fee to the filing office. To receive an acknowledgement copy, you must submit two completed copies of this form.

A. Complete item A to assist filing offices that might wish to communicate with the filer. This item is optional.

B. Complete item B if you would like an acknowledgment copy sent to you. An acknowledgement copy will not be sent unless two copies of the completed form submitted to the filing office.

General – You must always complete items 1 and 4 and either 2a or 2b. You may also be required to complete item 3.

- 1a. Indicate the type of record to which this Correction Statement relates (e.g., Financing Statement or Amendment). You may also insert additional information that you believe will assist in identifying the record (e.g., the filing date and/or record number of the Record).
- 1b. Enter the file number of the initial financing statement to which the record that is inaccurate or wrongfully filed relates. Enter only one file number.
2. If this Correction Statement is filed based on the filer's belief that the record identified in item 1 is inaccurate, check box 2a, provide the basis for that belief, and indicate the manner in which the record should be amended to cure the inaccuracy.

If this Correction Statement is filed based on the filer's belief that the record identified in item 1 was wrongfully filed, check box 2b and provide the basis for that belief.
3. If this Correction Statement relates to a record filed [or recorded] in a filing office described in Section 9-501(a)(1), provide the date [and time] on which the initial financing statement identified in item 1b was filed [or recorded].
4. Always enter the name of the person who authorized the filing of this Correction Statement.